AGREEMENT NO. R18-08-A

BETWEEN

PORT AUTHORITY OF ALLEGHENY COUNTY

AND

BUCHANAN INGERSOLL & ROONEY PC

APRIL, 2019

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AGREEMENT No. R18-08-A

THIS AGREEMENT NO. R18-08-A (this "Agreement") is made as of the 1st day of April, 2019 (the "Effective Date"), by and between PORT AUTHORITY OF ALLEGHENY COUNTY, a body corporate and politic created under the provisions of the Second Class County Port Authority Act, as amended, having its principal office at 345 Sixth Avenue, Third Floor, Pittsburgh, Pennsylvania 15222-2527 ("Authority"), and BUCHANAN INGERSOLL & ROONEY PC, a professional corporation having an address of One Oxford Centre, 301 Grant Street, 20th Floor, Pittsburgh, PA 15219("Contractor").

WITNESSETH:

WHEREAS, Authority requires the services of a contractor to provide a full range of services for the Project, which includes, but is not limited to, providing government relations and lobbying services to assist in properly representing the Authority before political bodies and similar organizations as deemed necessary by the Authority. This would include, but not be limited to, (1) The executive and legislative branches of the Federal government; and (2) The executive and legislative branches of the State government and as more fully described in the Scope of Services (the "Contract Services"); and

WHEREAS, to obtain a qualified contractor, Authority required submission of proposals to provide the Contract Services as described in its "Request for Proposal for Legislative Consulting Services," RFP No. 18-08 dated October, 2018 (the "RFP"), as amended; and

WHEREAS, in response to the RFP, Contractor submitted, a proposal (the "Contractor's Proposal") and, in reliance thereon, Authority has selected Contractor to provide the Contract Services; and

WHEREAS, Authority and Contractor desire to set forth their mutual understanding and agreement concerning, among other things, the scope of the Contract Services and the basis upon which Contractor will be compensated for the Contract Services.

NOW, THEREFORE, the parties hereto, intending to be legally bound hereby, do mutually covenant and agree as follows:

1. <u>Interpretation of Documents/Incorporation of Recitals</u>

- 1.1 <u>Recitals</u>. The recitals and references aforesaid are incorporated herein and made a part hereof.
- 1.2 References. In this Agreement, except where expressly stated to the contrary:
 - (a) the RFP, Contractor's Proposal and the Schedules and Attachments to this Agreement are, by this reference, incorporated into and made a part of this Agreement and all references to and mentions of this

Agreement shall include the RFP, Contractor's Proposal and the Schedules and Attachments to this Agreement as so incorporated into this Agreement;

- (b) references to and mentions of Authority and Contractor include their respective legal successors and persons and entities to whom, by operation of law, the rights and duties of Authority and Contractor, respectively, have passed;
- (c) references to any federal, state or local law, regulation or statute shall include, as of any particular point in time, that law, regulation or statute in changed, amended or supplemented form or to a newly adopted law, regulation or statute replacing a previous law or statute; and
- (d) references to and mentions of the words "include," "including" or the phrase "e.g." in this Agreement shall mean "including, without limitation".
- 1.3 <u>Interpretation of Documents</u>. In the event of a conflict between the terms of the RFP and Contractor's Proposal, the terms of the RFP shall prevail. In the event of a conflict between the terms of the RFP or the Contractor's Proposal, on the one hand, and any other portion of this Agreement, on the other hand, the terms of this Agreement shall prevail.

2. Engagement of Contractor.

Authority hereby engages Contractor to perform, and Contractor hereby agrees to perform, the Contract Services as set forth in the Scope of Services, attached hereto and made a part hereof as Schedule 2.

3. Contractor's Performance

- 3.1 <u>Capability</u>. Contractor warrants that it has the experience and capability, including sufficient and competent supervisors and other personnel, to properly, efficiently and expeditiously accomplish the Contract Services in a manner satisfactory to Authority and as required by this Agreement and State and Federal law. Contractor further warrants that it will continuously furnish said personnel and so accomplish the Contract Services.
- Nature of the Contract Services. Contractor acknowledges that it has satisfied itself as to the nature of the Contract Services, the general character, magnitude and quality of the services required during and for satisfactory completion of the Contract Services, the general and local conditions and all other matters which could affect the Contract Services. Contractor shall assume total responsibility for all management, coordination and all other tasks and activities required to perform the Contract Services.
- 3.3 <u>Guidelines.</u> Contractor shall comply with and follow all Authority policies, guidelines and procedures with respect to its performance of the Contract Services and as set forth in the Scope of Services.
- 3.4 <u>Efforts</u>. In addition to, and in light of, each of the foregoing, Contractor shall utilize its best professional efforts in performing the Contract Services.

4. Personnel

- Contractor's Obligation to Secure. Contractor shall provide, at its own expense, all 4.1 personnel required for its performance of the Contract Services, in accordance with the terms of this Agreement. Such personnel shall not be employees of Authority, the County of Allegheny, the Southwestern Pennsylvania Commission, or the Commonwealth of Pennsylvania. Employment fees, if any, shall not be considered as a reimbursable cost hereunder. All employees of Contractor or any of its subcontractors or suppliers, including, without limitation, all Key Personnel (as such term is defined in Section 4.3 below), whether performing their functions at Contractor's place of business, Authority's place of business or elsewhere, shall, at all times, be and remain employees of Contractor or the appropriate subcontractor or supplier and shall not be employees of Authority. Contractor shall pay or cause its subcontractors and suppliers to pay all wages, salaries and other amounts due to their respective employees who perform on Contractor's behalf under this Agreement and Contractor or its subcontractors or suppliers shall be responsible for all reports, payments and other obligations respecting such employees, including without limitation, those obligations relating to social security, income tax withholding, unemployment compensation and worker's compensation. Contractor, at its sole cost and expense, shall defend Authority and its permitted assignees under this Agreement against or settle all claims and proceedings based upon any breach by Contractor of its obligations under this Section 4.1 or based upon any claim by any employee of Contractor or any of its subcontractors or suppliers that is inconsistent with the provisions of this Section 4.1 and shall indemnify and hold harmless Authority against and from any losses, costs or expenses associated therewith.
- 4.2 <u>Contractor's Obligation to Supervise</u>. All of the Contract Services required hereunder will be performed by Contractor or under its supervision, and all personnel engaged in the performance of the Contract Services shall be Contractor's or its approved subcontractors' employees, and Contractor shall be solely responsible for assuring that all such personnel are fully qualified to perform such Contract Services and are adequately supervised during such performance.
- 4.3 Key Personnel. Authority and Contractor shall each designate an individual within their organization as the primary contact and liaison with the other party for all matters arising under this Agreement (each individually being a "Project Manager" and collectively the "Project Managers"). Contractor's Project Manager and each of the key personnel identified on Schedule 4.3 (collectively, the "Key Personnel") shall be assigned to and shall perform the Contract Services, unless his or her employment with Contractor is terminated or unless he or she is removed pursuant to Authority's written request as provided for under this Agreement. Contractor shall notify Authority of the termination of any of Key Personnel within seven days after the occurrence of such action.
- **Removal of Personnel.** Contractor shall remove from the performance of the Contract Services any of its personnel theretofore assigned to the performance of the Contract Services within five days, unless an earlier time is specified by Authority, of a written request from Authority that Contractor do so.
- **4.5** <u>Dedication of Key Personnel</u>. Key Personnel shall be dedicated to the fulfillment of Contractor's obligations under this Agreement. The Key Personnel shall be assigned to and

participate in the performance of the Contract Services until the completion of the assigned Contract Services, or such earlier time as Authority acknowledges, in writing, that the work of certain Key Personnel has been completed and are thus no longer required to participate in the performance of the Contract Services. The Key Personnel shall not be removed from participation in the performance of the Contract Services except in case of death, illness, discharge or resignation, or similar circumstances beyond the Contractor's reasonable control. If any of the Key Personnel become unavailable to participate in the performance of their assigned portion(s) of the Contract Services due to such circumstances beyond the Contractor's control, then the Contractor shall promptly appoint an appropriately qualified replacement subject to Authority's approval, which shall not be unreasonably withheld. Authority shall be entitled to complete information on each such replacement, including a current resume of his or her qualifications and experience. If the Contractor informs Authority that it wishes to relocate any of the identified Key Personnel and remove him or her from their assigned portion(s) of the Contract Services, for its own or the Key Personnel's reasons not having to do with death, illness, discharge or similar circumstances, Authority may, at its sole discretion, permit substitution of another qualified person, but only upon the Contractor's agreement that: (1) the current Key Personnel will remain working on their assigned portion(s) of the Contract Services for at least a 30 day transition period with the replacement and or such other term as deemed necessary by Authority, in writing, to ensure adequate transition, (2) Authority will not be charged for the time of the replacement during the transition period. Contractor will not be required to retain Key Personnel on the Project if that portion of the Contract Services, in whole or in part, is suspended for a period in excess of 90 calendar days.

4.6 Additions to Key Personnel. If additions to Key Personnel become necessary, for whatever reason, Contractor shall designate, by written notice to Authority, those individuals whom Contractor proposes as additional Key Personnel. Authority shall have the right to approve additional Key Personnel, which approval shall not be unreasonably withheld. From time to time Authority may request, and upon such request Contractor shall provide to Authority, resumes, references or other information relating to any Key Personnel.

5. Term

- 5.1 Term. Except for any earlier termination of this Agreement pursuant to Section 10 hereof, the term of this Agreement shall commence on the Effective Date and continue on a month-to-month basis, subject to Authority's termination for convenience and termination for default rights as provided herein, for a period up to three (3) years from the Effective Date (the "Termination Date"). Authority, in its sole discretion, may extend the term of this Agreement on a month-to-month basis by one or more written amendments hereto for up to two (2) additional years.
- 5.2 Requirement for Timely Performance. Time is of the essence in the performance of the Contract Services by Contractor. The time requirements for the Contract Services will be set forth in the Scope of Services (as the same shall then exist) and in this Agreement and Contractor shall comply with all such time requirements. Unless otherwise expressly stated, any reference to "days" in this Agreement shall mean calendar days.
- **5.3 Force Majeure**. Contractor shall only be granted an adjustment in the time requirements for completion of the Contract Services due to unforeseen causes beyond the control and without the fault or negligence of Contractor such as those caused by act of God or of a public enemy,

fire, floods, epidemics, quarantine restrictions, strikes and other work stoppage caused by a labor dispute, shortage of materials and freight embargoes, provided that Contractor has taken reasonable precautions to prevent delays due to such causes. An adjustment in the time requirements for completion of the Contract Services shall not be granted for a delay caused by a shortage of materials, except Authority-furnished materials, unless Contractor furnishes to Authority documentary proof that the Contractor has diligently made every effort to obtain such materials from all known and reasonable sources. Only the physical shortage of material shall be considered under this provision as a cause for an adjustment in the time requirements for completion of the Contract Services. No consideration shall be given to any claim that material could not be obtained at a reasonable, practical or economic cost. In the event of any delay beyond the control of Authority or any delay set forth above with respect to Contractor, the schedule and time of performance of this Agreement may be appropriately adjusted as agreed to in writing by the parties with such appropriate adjustment being made to the Contract Services. Without limiting the generality of the foregoing, any delay due to a work stoppage by employees of Authority shall constitute delay beyond the reasonable control of Authority.

5.4 <u>Access to Property</u>. Authority will seek to reasonably assist Contractor, as required, in gaining access to property necessary in the performance of the Contract Services hereunder.

6. Compensation

- 6.1 <u>Compensation of Contractor</u>. For the performance of all of the Contract Services and for the deliverables provided for herein, Contractor shall be compensated in accordance with the provisions of this Section 6 and as set forth in <u>Schedule 6.1</u>. The total not to exceed amount shall not exceed Three Hundred Fifty Five Thousand Five Hundred and 00/100 Dollars (\$355,500) herein called the Maximum Price. Contractor shall not perform services or incur costs which would cause the aggregate amount due under this Agreement to exceed the Maximum Price.
- 6.2 <u>Allocation</u>. Authority will compensate Contractor for the performance of the Contract Services based on the scheduled costs attributable to those portions of the Contract Services specified in the Scope of Services.
- 6.3 Eligibility of Costs. The eligibility of costs shall be governed by the provisions of 48 C.F.R., Part 31, in effect at the time such expense was incurred, except as otherwise expressly provided in this Agreement. In the event expenditures reimbursed to Contractor under this Agreement are subsequently properly disallowed by Authority due to accounting errors or charges not in conformity with this Agreement, Contractor agrees to immediately refund such amounts to Authority or permit Authority to offset the same against any amount(s) currently due to Contractor for the Contract Services.
- 6.4 <u>Submission of Invoices</u>. Contractor shall submit monthly invoices in accordance with this Agreement and the Scope of Services and payment limitations set forth in this Section 6. Each such invoice shall be prepared in a form satisfactory to Authority and shall reflect the correlation between that invoice and the work performed by Contractor relating thereto. Each such invoice that includes costs to be paid on a direct cost basis shall be supported by a certified statement of costs incurred by Contractor in the performance of the applicable Contract Services, which certified statement shall contain, at a minimum, the names, hours of work, and rate of individuals working on the Contract Services and copies of invoices for all direct expenses. All

invoices shall be prepared from the books of account kept by Contractor, and Contractor shall make available, at reasonable times when requested by Authority, copies of payroll distribution and individual labor time sheets, receipted bills, and other documents reasonably required by Authority.

- after its receipt of an approvable invoice and related Progress Reports pursuant to Section 7 hereof, process payment to Contractor. Contractor shall, in accordance with 49 C.F.R. §26.29, pay its subcontractors for satisfactory performance of their contracts no later than 30 days from receipt of each payment it receives from Authority for any invoice that includes work performed by such subcontractors. Contractor shall also pay its subcontractors all retainage, in any form, if such retainage has been withheld from the subcontractor by Contractor, within 30 days after the subcontractor's work is satisfactorily completed, regardless of whether such retainage is still being withheld by Authority from Contractor. Contractor's failure to comply with these requirements shall constitute a material breach of this Agreement. Any delay or postponement of payment of Contractor to its subcontractors may take place only for just cause and with written prior approval of Authority.
- 6.6 <u>Taxes.</u> Contractor shall be solely responsible for the payment of all applicable federal, state, local and other taxes, fees and duties.
- 6.7 <u>Complete Payment</u>. It is further understood and agreed that, except for modifications to this Agreement made in accordance with the terms of this Agreement pursuant to Section 11, payment under this Section 6 shall constitute complete payment for the Contract Services performed and deliverables provided under this Agreement.
- 6.8 <u>Compensation Schedule</u>. Contractor shall maintain on file with Authority its current compensation schedule, covering wage rates and salaries for personnel classifications eligible for reimbursement as direct costs for performance of the Contract Services. Such compensation schedule shall conform to general prevailing rates and shall be reviewed at least annually for necessary adjustment. In the event that a change in Contractor's Project Manager or any of the other Key Personnel occurs, compensation to Contractor shall be at the actual rate for the replacement individual or the previously identified rate for the replaced individual, whichever is less, unless otherwise approved by Authority.

Progress Reports.

If and as required by Authority, Contractor shall submit to Authority written monthly progress reports ("Progress Reports") in a form acceptable to Authority on or before the fifteenth (15th) day of each calendar month and pertaining to the prior one-month period. Progress Reports shall include the following items, as directed by Authority: (1) the activities started during the report period, progress made on on-going (in-progress) activities and activities to be started in the next report period; (2) the activities completed during the report period and those to be completed in the next report period; (3) financial status with actual vs. budget for both costs and manpower, along with forecasts to completion; (4) project schedule summary with detailed explanations for any deviations and slippages and, if applicable, plans for schedule recovery; (5) identification of any major problems that may impact the completion or schedule of the Contract Services or the Project; (6) pending action items requested by Contractor; (7) subcontractor status reports; (8) log of correspondence for the report period; and (9) Diverse Business ("DB") utilization status

reports, including a detailed explanation and recovery plan if DB goals are not being met. Unless and except to the extent Contractor expressly indicates in any such report any alleged deficiencies in Authority's performance under this Agreement, Authority shall be deemed to be fully in compliance with its obligations under this Agreement through the period pertaining to such report.

8. Contract Work Hours and Safety Standards.

Contractor shall comply with 29 C.F.R. §5.5(b) relating to contract work hours and safety standards to the extent applicable. The Contractor and its subcontractors shall maintain payrolls and basic payroll records during the course of the work and shall preserve them for a period of four years from the completion of this Agreement for all laborers and mechanics, including guards and watchmen, working on the Agreement. Such records shall contain the name and address of each such employee, social security number, correct classifications, hourly rates of wages paid, daily and weekly number of hours worked, deductions made and actual wages paid. The records to be maintained shall be made available by the Contractor or its subcontractors for inspection, copying or transcription by authorized representatives the Department of Labor, the Commonwealth of Pennsylvania and/or Authority, and the Contractor, or its subcontractors, will permit such representatives to interview employees during working hours on the job.

9. Insurance

9.1 General. Contractor shall procure, and cause any subcontractors to procure, before the Contract Services are commenced hereunder, and maintain at its own cost and expense, during the entire period of the performance under this Agreement, the types and amounts of insurance listed in this Section 9 with insurance companies authorized to operate in Pennsylvania and with insurance companies having a minimum A- rating as then stated by A.M. Best. Contractor's insurance shall be primary and non-contributory.

Limits may be satisfied by any combination of primary and excess or umbrella per occurrence policies. In the event that the required limits must be satisfied by any combination of primary and excess or umbrella, the policies should provide drop down coverage and provide at least as broad of coverage as the underlying polices.

9.2 Worker's Compensation and Employer's Liability.

- (a) Workers' Compensation Statutory Limits
- (b) Employer's Liability in an amount not less than:

\$100,000 Each Accident \$500,000 Disease - Policy Limit \$100,000 Disease - Each Employee

Note:

- (i) Coverage shall be provided in accordance with the laws of the Commonwealth of Pennsylvania and the laws of such other jurisdictions as may apply.
 - (ii) The Contractor shall require, and hereby certifies, that each of its

Subcontractors maintains Workers' Compensation Insurance in accordance herewith, and shall provide to Authority written evidence of such coverage then in effect for each Subcontractor upon Authority's request.

9.3 Commercial General Liability.

In an amount not less than:

\$2,000,000 General Aggregate \$1,000,000 Personal and Advertising Injury \$1,000,000 Each Occurrence

Notes:

- (i) Contractual exclusion shall be deleted from Personal and Advertising Liability coverage section. If Contractor's insurance company cannot comply with the requirement, Contractor may self-insure.
- (ii) Policy shall provide that "Port Authority of Allegheny County" be named as an Additional Insured for Contractor's full limits of coverage on a primary and non-contributory basis, but in no case less than the limits specified. Policy shall be endorsed with a waiver of subrogation clause with respect to Authority, and neither Contractor nor its insurer shall have any claim against Authority to the extent the claim is or should have been covered by insurance.
- (iii) If Claims Made coverage is provided, the policy retroactive date shall be effective to the date of this Agreement and the extended reporting period or policy renewal must provide that the policy will respond to claims made for at least 24 months after completion of the Contract Services.

9.4 Business Automobile Liability.

With a Combined Single Limit not less than:

\$1,000,000 Each Accident

Notes:

- (i) Policy shall cover the use of all owned, hired and non-owned vehicles.
- (ii) Policy shall provide that "Port Authority of Allegheny County" be named as an additional insured for Contractor's full limits of coverage on a primary and non-contributory basis, but in no case less than the limits specified. Policy shall be endorsed with a waiver of subrogation clause with respect to Authority, and neither Contractor nor its insurer shall have any claim against Authority to the extent the claim is or should have been covered by insurance.

9.5 Professional Liability.

In an amount not less than:

\$1,000,000 Each Claim \$2,000,000 Aggregate

Notes:

- (i) Policy shall provide coverage for legal liability for damages caused by an error, omission or negligent act in the performance of professional services.
- (ii) Policy retroactive date shall be effective prior to date of this Agreement and the extended reporting period or policy renewal must provide that the policy will respond to claims made for at least 24 months after completion of the Contract Services.
- 9.6 <u>Valuable Papers</u>. Contractor shall maintain coverage in an amount sufficient to assure restoration of any plans, drawings, field notes, records, or other similar data relating to work covered by this Agreement in the event of their loss or destruction.
- 9.7 Approval. Contractor shall not commence work under this Agreement until it has forwarded to Authority, for review and approval, certificate(s) of the insurance required. Authority shall be given a minimum of 30 days' notice in the event of change or cancellation of any of the insurance required. The fact that Contractor has obtained the insurance required in this Agreement shall in no manner lessen nor affect Contractor's other obligations set forth in any provision of this Agreement.

The policies of insurance referenced above are not to contain any deductible or self-insured retention, as applicable to Authority as an additional insured. In the event the insurance policies purchased by Contractor pursuant to the above insurance requirements contain any deductible or self-insured retention provisions, Contractor shall provide Authority with disclosure of said deductible or self-insurance retention prior to execution of the Agreement, which will be subject to Authority approval. If Authority approves any insurance policy that includes a deductible or self-insured retention, Contractor shall be responsible for payment of any and all deductibles or self-insured retentions, whether owing from the named insured or Authority as an additional insured, applicable to the policies of insurance referenced above.

- 9.8 <u>Certificate Holder</u>. Port Authority of Allegheny County, 345 Sixth Avenue, Pittsburgh, PA 15222
- 9.9 Indemnification. The Contractor agrees, on behalf of itself and its employees, agents, consultants, subcontractors, successors and assigns, that it shall defend any and all suits, actions or claims brought against Authority, or against Allegheny County, the Commonwealth of Pennsylvania and all other government agencies and entities as identified in the Agreement (hereinafter collectively the "Government"), and their respective officers, directors, agents and employees for or on account of any injuries or damages alleged to have been caused, in whole or in part, by, through, or in connection with, the performance of the Contract Services, whether due to the use of defective materials or defective workmanship or on account of any act, omission, or negligence of the Contractor, its employees, its Subcontractors; and shall indemnify and hold harmless Authority and the Government from and against all loss, cost, damage and expense (including reasonable attorney's fees) caused by, or in any manner arising from, in whole or in part, any act, omission or negligence of the Contractor, its employees, its Subcontractors, in the performance of the Contract Services; and shall pay, liquidate and discharge any and all valid claims and demands for injuries to persons and damage to property

caused by, or in any manner arising out of, in whole or in part, the performance of the Contract Services. This indemnification provision further applies to any claims brought by any employee of the Contractor, or by an employee of a subcontractor of Contractor, against Authority and/or the Government, and their respective officers, directors, agents and employees, and Contractor expressly waives protections afforded under the Pennsylvania Workers' Compensation Act, only as is necessary to comply with its responsibilities under this indemnity provision.

10. Termination or Suspension

- 10.1 Suspension by Authority. Upon written notice to Contractor, Authority may temporarily suspend, in whole or in part, this Agreement and performance hereunder for any one or more periods, not to exceed 90 days each, during which period or periods this Agreement shall remain in effect. After receipt of notice of suspension, Contractor shall take all reasonable steps to minimize incurring further costs or expenses. Authority shall be obligated to pay Contractor the compensation it has earned under Section 6 up to the date of suspension and to pay any minimum and reasonable costs and expenses agreed to by the parties in writing that may be required to permit Contractor to maintain any services in standby condition so that such Contract Services hereunder may be resumed if conditions so warrant, in the sole discretion of Authority. In the event the suspension of such Contract Services exceeds 90 days and results in changed conditions or circumstances affecting the performance of Contract Services to be provided hereunder, the parties hereto agree to negotiate in good faith an equitable adjustment of the affected provisions of this Agreement.
- 10.2 <u>Termination for Convenience by Authority</u>. Authority may terminate this Agreement, in whole or in part, for its convenience at any time by giving at least ten (10) days' written notice to Contractor of such termination and specifying the effective date thereof.
- 10.3 Payment Upon Termination for Convenience. If this Agreement is terminated for the convenience of Authority, as provided in Section 10.2, Contractor shall be paid in accordance with Section 6 for its allowable costs incurred through the effective date of termination, less payments of compensation previously made. Contractor shall also be paid that portion of the Contractor's compensation earned to the date of termination. Further, Contractor shall be reimbursed for the actual eligible costs, not otherwise reimbursed under this Agreement, incurred by Contractor to implement the termination, to the extent allowable pursuant to 48 C.F.R., Part 31.
- 10.4 <u>Termination by Authority for Default</u>. Authority may terminate this Agreement, in whole or in part, because of (i) the failure of Contractor to fulfill or properly perform its obligations under this Agreement, (ii) the filing by Contractor of a voluntary petition in bankruptcy, (iii) the filing against Contractor of an involuntary petition in bankruptcy which is not dismissed within 30 days of the filing thereof, (iv) the Contractor becomes insolvent or makes a transfer in fraud of creditors, or (v) the judicial appointment of a receiver for substantially all of Contractor's assets. Authority shall terminate by delivering to Contractor a notice of termination specifying the nature, extent, and effective date of the termination. In the event Authority terminates this Agreement as result of a default by Contractor and it is subsequently determined that Contractor was not in default, this Agreement shall be deemed treated as though terminated for Authority's convenience.
- 10.5 Payment Upon Termination for Default. If this Agreement is terminated for default, as

provided herein, Contractor shall promptly submit its termination claim to Authority and Authority shall determine, in its sole discretion, the Contractor's compensation, if any, to be paid Contractor in proportion to the value, if any, of work performed up to the time of termination.

- 10.6 <u>Contractor's Obligations Upon Termination</u>. Upon receipt of the notice of termination, Contractor shall immediately (1) discontinue those Contract Services affected (unless the notice directs otherwise), and (2) deliver to Authority all data, drawings, specifications, reports, estimates, summaries, and other information and materials accumulated or prepared in performing the affected Contract Services, whether completed or in process. In the event of termination for any reason, all finished or unfinished documents and other materials shall immediately become the property of Authority.
- 10.7 <u>No Waiver of Remedies for any Breach</u>. In the event that Authority elects to waive its remedies for any breach by Contractor of any covenant, term or condition of this Agreement, such waiver by Authority shall not limit Authority's remedies for any succeeding breach of that or of any other term, covenant, or condition of this Agreement.

11. Changes to the Contract Services or the Scope of Services

- changes in the Contract Services to be performed hereunder or other changes not elsewhere described or provided for in this Agreement. Upon any such request by Authority, Contractor shall have a period of five business days (or such longer period as the parties may mutually agree is appropriate under the circumstances) in which to notify Authority that such changes are not possible, together with a reasonably detailed explanation of Contractor's assessment. If Authority disagrees with such assessment by Contractor, the matter shall be subject to resolution in accordance with the provisions of Section 12 herein as though the Project Managers had been unable to resolve the dispute. If Authority agrees with Contractor's assessment, Authority's request for such changes shall be deemed to be withdrawn by Authority. If Contractor does not timely deliver such notice to Authority, the applicable changes requested by Authority shall be deemed to be possible.
- 11.2 <u>Adjustments</u>. If Authority determines that any change set forth in a Change Order causes a compensable increase or decrease in the Contractor's cost of, or time required for performance of, the Contract Services, an adjustment, through a Change Order, will be made to the Agreement.
- 11.3 No Allowance or Recovery. No allowance shall be made, or recovery allowed, to Contractor, and Authority shall have no obligation to pay, for the loss by Contractor of anticipated profit, incidental, special or consequential damages, or overhead recovery on or for any portion of the Contract Services not performed by reason of a change.

12. Dispute Resolution

12.1 <u>Dispute Resolution by the Parties</u>. Any and all disputes regarding either party's performance of its obligations under this Agreement are to be resolved by the Project Managers who shall each be responsible for apprising the appropriate persons within their respective organizations of any such dispute and the progress, if any, made toward its resolution. Notwithstanding the foregoing, if any dispute hereunder remains unresolved for more than 10

days following the initial written notice from one Project Manager to the other, either Project Manager may cause, by written notice to the other (such written notice to include a detailed description, including supporting documentation, of the claim from which the dispute arises), such dispute to be referred to the Authority's Contracting Officer (in each case Authority's Chief Communications Officer, and in the absence of the same Authority's Chief Executive Officer) and to the Senior Principal of Contractor. If, 10 days after such referral to such designated representatives, the dispute remains unresolved, either such designated representative may cause the dispute to be referred to the Chief Executive Officer of Authority and to the Senior Principal of Contractor.

- 12.2 <u>Disputes</u>. Disputes arising in the performance of this Agreement which are not resolved within 10 days by the Chief Executive Officer of Authority and Charles J. Kolling, Jr., Senior Principal of Contractor shall be decided in writing by Authority's Contracting Officer. This decision shall be final and conclusive unless within 10 days from the date of receipt of its copy, the Contractor mails or otherwise furnishes a written appeal to Authority's Contracting Officer. In connection with any such appeal, the Contractor shall be afforded an opportunity to be heard and to offer evidence in support of its position. The decision of Authority's Contracting Officer shall be binding upon the Contractor and the Contractor shall abide by, and perform in accordance with, such decision. Notwithstanding the foregoing, following completion of the process set forth above, nothing in Sections 12.1 or 12.2 shall be construed to limit or restrict either party's ability to seek relief in accordance with Section 12.4 of this Agreement.
- 12.3 <u>Performance During Dispute</u>. Unless otherwise directed by Authority, Contractor shall continue performance under this Agreement, including any decision rendered by the Contracting Officer in accordance with Section 12.2 above, while matters in dispute are being resolved.
- 12.4 <u>Remedies</u>. Unless this Agreement expressly provides otherwise, and after compliance with the requirements of Sections 12.1 and 12.2 above, all claims, counterclaims, disputes and other matters in question between Authority and the Contractor arising out of or relating to this Agreement or its breach shall be commenced in the Court of Common Pleas of Allegheny County, Pennsylvania as set forth in Section 31 of this Agreement.
- 12.5 <u>Waiver</u>. No action or failure to act by Authority or Contractor shall constitute a waiver of any right or duty afforded any of them under this Agreement, nor shall any such action or failure to act constitute an approval of or acquiescence in any breach thereunder, except as may be specifically agreed in writing.
- 12.6 Acceptance of Service. Contractor shall maintain, during the duration of this Agreement, and for four (4) years after the completion thereof, an agent in Allegheny County, Pennsylvania authorized to accept notice and service of process on behalf of Contractor. Contractor shall advise Authority, in writing, of the name of its agent and any changes made thereto.

13. Non-discrimination

In connection with the performance under this Agreement, Contractor shall not discriminate against any employee or applicant for employment because of race, color, age, creed, sex, disability or national origin and shall comply with the Commonwealth of Pennsylvania Nondiscrimination Clause attached hereto and made a part hereof as <a href="https://example.com/Attachment-Action-com/Attachment-A

shall take affirmative action to ensure that applicants are employed and that employees are treated during employment without regard to their race, color, creed, sex, age, disability or national origin. Such actions shall include, but not be limited to, the following: employment, upgrading, demotion or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship.

14. Equal Employment Opportunity

Contractor shall comply and shall assure the compliance by its subcontractors under this Agreement with all the Equal Employment Opportunity requirements as follows:

- 14.1 General. In accordance with, Title VII of the Civil Rights Act, as amended, 42 U.S.C. § 2000e, Contractor agrees to comply with all applicable equal employment opportunity requirements of U.S. Department of Labor (U.S. DOL) regulations, "Office of Federal Contract Compliance Programs, Equal Employment Opportunity, Department of Labor," 41 C.F.R. Parts 60 et seq., (which implement Executive Order No. 11246, "Equal Employment Opportunity," as amended by Executive Order No. 11375, "Amending Executive Order 11246 Relating to Equal Employment Opportunity," 42 U.S.C. § 2000e note), and with any applicable Federal statutes, executive orders, regulations, and Federal policies that may in the future affect activities undertaken in the course of the Contract Services.
- 14.2 Age. In accordance with Section 4 of the Age Discrimination in Employment Act of 1967, as amended, 29 U.S.C. §§ 623 and Federal transit law at 49 U.S.C. § 5332, Contractor shall not discriminate against present and prospective employees for reason of age.
- 14.3 <u>Disabilities</u>. In accordance with section 102 of the Americans with Disabilities Act, as amended, 42 U.S.C. § 12112, Contractor shall comply with the requirements of U.S. Equal Employment Opportunity Commission, "Regulations to Implement the Equal Employment Provisions of the Americans with Disabilities Act,' 29 C.F.R. Part 1630, pertaining to employment of persons with disabilities.
- 14.4 <u>Sex.</u> In accordance with Title IX, as amended, 20 U.S.C. §§ 1681, *et seq.*, Contractor shall not discriminate based on sex.

15. Diverse Business Utilization Requirements

It is the policy of Authority that Diverse Businesses ("DB") shall have the maximum opportunity to participate in the performance of contracts and subcontracts, and the Contractor, on behalf of itself and its subcontractors, agrees to make a good faith effort to ensure that properly certified DBs, as defined in 74 Pa. C.S. §303, shall have the maximum opportunity to participate in the performance of contracts and subcontracts provided under or for this Agreement. In this regard, the Contractor shall take all necessary and reasonable steps to ensure that DBs have the maximum opportunity to compete for and perform contracts and subcontracts and shall document in good faith, and to Authority's satisfaction, all efforts to solicit contracts and subcontracts from DBs.

The Contractor and its subcontractors shall not discriminate on the basis of race, color, religion, creed, age, disability, national origin, sexual origin, gender identity or status as a parent in the performance of this Agreement. Failure by the Contractor or its Subcontractors to carry out

these requirements is a breach of the Agreement, which may result in the termination of this Agreement or such other remedy as Authority deems appropriate. The Contractor hereby further represents, certifies and affirmatively assures Authority that it shall include, or caused to be included, the foregoing language in section 15 in all subcontracts, of any tier, for the Contract Services.

15.1 Contractor Records and Reports. To ensure that all obligations under contracts awarded to DBs are met, Authority shall review the Contractor's DB involvement efforts during the performance of the Agreement which may include, among other thing, evidence of invoice made to DB. The Contractor, as part of its invoice submittals, shall report on the status of the DB involvement on the Agreement using the DB Utilization Report form provided by the Authority. Also, the Contractor shall immediately bring to the attention of Authority any situation in which regularly scheduled progress payments are not made to DB subcontractors.

The development of a comprehensive monitoring system enables Authority to assess progress in achieving DB utilization, determine the priorities for allocating Authority Staff time, and identify problem areas in a timely fashion. In order to carry out this monitoring, a record-keeping system should be developed which will identify and assess the Contractor's progress in utilizing DBs. Specifically, the Contractor shall maintain records showing:

- a) Procedures which have been adopted to comply with the requirements of the DB program, including but not limited to:
 - (1) Affirmative action techniques;
 - (2) Technical assistance efforts and referrals;
 - (3) Communication programs; and
 - (4) Copies of all relevant correspondence, minutes of meetings, and lists of attendees at conferences and workshops.
- b) Awards to DB firms, including at a minimum:
 - (1) Names of DB subcontractors;
 - (2) Nature of work; and
 - (3) Total value of the subcontract.
- c) Specific efforts to identify and award contracts to DBs, including but not limited to:
 - (1) Number and identity of DB contacted and invited to submit a proposal by agreement; and
 - (2) Number and identity of DBs responding by agreement.
- 15.2 <u>Penalties.</u> During the performance of the Agreement and for a period of up to three (3) years following completion of the Contract Services, Authority may conduct reviews for compliance with the requirements of the DB program. Such reviews may include the evaluation of the Contractor's reports, audits, and site visitations. Where the Contractor is found to be in non-compliance with the requirements of the DB programs during the performance of the Agreement, it will be required to take corrective action. If corrective action is not promptly taken by the Contractor, the following sanctions may be instituted (singularly, in any combination and in addition to any other remedies provided by law):

- 1. Authority may withhold further payments under the Agreement;
- 2. The Contractor may be ordered to stop work without Authority being responsible for any resulting damages, costs, or delays;
- 3. The Agreement may be terminated;
- 4. Suspension or debarment proceedings may be commenced.

16. No Conflicts of Interest/ Officials Not to Benefit

Contractor hereby certifies that no member, official or employee of Authority, the Commonwealth of Pennsylvania, the County of Allegheny, or of any local public body has, or during his or her tenure or for one year thereafter, shall have any interest, direct or indirect, in this Agreement or the proceeds thereof. Contractor hereby further certifies that no member, officer, employee or agent of Authority has either solicited or accepted gratuities, favors, or anything of monetary value from Contractor.

17. Assignment

Contractor shall not voluntarily, involuntarily or by operation of law, assign any interest in this Agreement and shall not transfer any interest in the same (whether by assignment or novation), except as provided herein, without, in each instance, the prior written consent of Authority. Notwithstanding the foregoing, claims for money due or to become due to Contractor from Authority under this Agreement may be assigned to a bank, trust company or other financial institution without such approval, but shall be subject to any claims Authority may have against Contractor. Notice of any such assignment or transfer shall be promptly furnished in writing to Authority.

18. Subcontracting

Except as otherwise set forth herein, Contractor may not subcontract the Contract Services or any portion thereof to any third party without, in each instance, the prior written approval by Authority of the proposed subcontractor, which approval shall not unreasonably be withheld.

If the amount of a proposed lower tier Covered Transaction (2 C.F.R. Part 180) for the Contract Services equals or exceeds \$25,000.00, the Contractor shall independently verify the debarment status of each person with which it proposes to enter into such a transaction. The Contractor shall also verify the debarment status of each such person by utilizing the current list of suspended and debarred contractors at "https://www.sam.gov." The Contractor's obligations pursuant to these provisions are ongoing and shall be performed from the Effective Date of the Agreement through the completion of all of the Contract Services. In order to fulfill these obligations, Contractor may require each such person to provide Contractor with an executed Certification of Proposed Subcontractor Regarding Debarment, Suspension and Other Responsibility Matters, in the form attached hereto as Attachment B. The eligibility of a proposed subcontractor to participate in the Agreement will be determined, in part, in accordance with applicable regulations.

Contractor shall require that a Certification Regarding Lobbying, in the form attached hereto as <u>Attachment C</u>, be included in the award documents for all subcontracts exceeding \$100,000 at any tier, and shall ensure that the appropriate subcontractor shall execute the Certification

Regarding Lobbying and, if applicable, the Disclosure of Lobbying Activities form. All Disclosure of Lobbying forms, but not Certification Regarding Lobbying forms, shall be forwarded to Authority, whereupon Authority will forward same to FTA in accordance with 49 C. F. R., Part 20.

The amount of work subcontracted by Contractor shall not aggregate more than 25 percent of all work hereunder, as measured in terms of cost to Authority, without prior written approval of Authority. Prior to Authority approval of any proposed subcontractor, Contractor shall provide Authority with the name of the proposed subcontractor, the tasks to be performed by the proposed subcontractor and the qualifications of the proposed subcontractor to perform the subcontracted work. Further, Contractor will include in such subcontracts the provisions or appropriate versions of Sections 13 through 32 of this Agreement. Contractor shall provide to Authority sufficient evidence of inclusion of said provisions in its subcontractor agreements.

Contractor hereby represents, warrants and covenants to Authority that all work performed by any subcontractor of Contractor on behalf of Contractor under this Agreement shall be in accordance with the terms and conditions of this Agreement.

Contractor will certify compliance with its obligations under this Section 18 upon submission of a Subcontractor Utilization Form as attached hereto as Attachment D prior to or at the time of the Effective Date of this Agreement, and thereafter submitted prior to Authority's approval of any additional subcontractor.

19. Reserved.

20. No Unauthorized Disclosures

No reports, information, data or other materials given to, or prepared or assembled by, Contractor under this Agreement shall be made available to any individual or organization by Contractor without, in each instance, the prior written approval of Authority.

21. Materials and Work Product Created

- 21.1 <u>No Copyrights</u>. No materials produced, in whole or in part, under this Agreement shall be subject to copyright in the United States or in any other country. Authority shall have unrestricted authority to publish, disclose, distribute and otherwise use, in whole or in part, any reports, information, data or other materials prepared under this Agreement.
- 21.2 Ownership of Work Products. All work products produced by, for or on behalf of Contractor pursuant to this Agreement shall be the sole property of Authority in perpetuity, and Contractor hereby forever assigns to Authority and its successors and assigns, and hereby agrees to cause any third parties producing any such work products for or on behalf of Contractor to forever assign to Authority and its successors and assigns, all of such assignor's worldwide right, title and interest in and to such work products and any related intellectual property rights related thereto, and in and to any and all renewals and extensions thereof, all of which shall be held and enjoyed by Authority, its successors and assigns as fully and entirely as the same would have been held and enjoyed by such assignor had the foregoing assignment or contemplated assignment not been made. Final payment by Authority to Contractor shall be withheld until all such work products are transferred to Authority by Contractor.

- 21.3 <u>Definition of Work Products</u>. Work products shall include, but not be limited to documents, field notes, records, computations, calculations, work sheets, sketches, drawings, specifications, cost estimates, laboratory data, test results, correspondence and all other products resulting from the work performed by the Contractor under this Agreement. Final payment by Authority to Contractor shall be withheld until such work products are transferred by Contractor to Authority at the completion or termination of the Contract Services.
- 21.4 Patents and Rights in Data. Contractor shall comply with the provisions of Attachment E, attached hereto and made a part hereof, relating to patent rights and rights in data.
- Patent/Intellectual Property Indemnification. Contractor shall, at its sole cost and expense, defend, indemnify and hold harmless Authority, the Commonwealth of Pennsylvania, the County of Allegheny and any other agencies and entities as identified in this Agreement, and their respective officers, directors, agents and employees (hereinafter collectively referred to as "Indemnitees"), against all claims and proceedings based upon actual or alleged infringement or other misappropriation of any patent, copyright, or other intellectual property right or wrongful use of any trade secret or confidential information, and Contractor shall hold Indemnitees harmless from any resulting losses, liabilities, damages, costs and expenses including, without limitation, reasonable attorneys' fees, arising from such claims. If an infringement, misappropriation, misuse or other violation of law or equity covered by the indemnity provided for herein is established by a court or administrative agency in a final decision from which no appeal is or can be taken, or if Authority is temporarily or permanently enjoined or otherwise legally estopped from using all or any portion of the Contractor's products, equipment or services subject to this Agreement, Contractor shall be liable to Authority for any and all damages incurred by Authority resulting from the infringement, misappropriation, misuse of other violation of law or equity or from Authority being so enjoined or estopped, including (but not necessarily limited to) Authority's increased costs to solicit and enter into a new contract with another contractor to provide the subject products, equipment or services to Authority in a legal and non-infringing manner and Authority's attorneys' fees.

22. Inspection of Works

Contractor shall permit the authorized representatives of the Authority, the Commonwealth of Pennsylvania, and the County of Allegheny to inspect all work, materials, payrolls and other data and records and to audit the books, records and accounts of Contractor relating to its performance under this Agreement. Such records shall be retained by Contractor for a period of four years after completion of the Contract Services. Contractor shall cause or provide free access to the duly authorized representatives of Authority at all reasonable times to such books and records and the right to examine and audit the same and to make such transcripts therefrom and copies thereof as may be necessary to allow inspection of all work, data, documents, proceedings and activities.

23. Ownership of Purchased Property

Contractor shall immediately transfer to Authority good and marketable title in and to all tangible personal property purchased by Contractor and paid for by Authority as part of the Contract Services. Contractor shall at all times maintain an inventory of, and be authorized to use, said tangible personal property for the Project until termination or expiration of the

Agreement or such earlier time that Authority requests that Contractor return such property to Authority.

24. Reserved.

25. Compliance with Additional Laws and Regulations

In addition to those other laws and regulations referenced in this Agreement, Contractor shall comply with all applicable federal, state or local laws, regulations, policies and related administrative practices presently in effect, as well as any changes to these federal requirements which may become effective during the period of this Agreement. Without limiting the generality of the foregoing:

- (i) Be responsible for its compliance with all applicable environmental law, regulations, standards, orders or requirements issued pursuant to federal, state or local statute, regulation or ordinance;
- (ii) The Contractor shall comply with the Pennsylvania "Steel Products Procurement Act" (73 P.S. § 1881, et seq.). Accordingly, the Contractor shall use or supply and certify that only "steel products" as defined in the Steel Products Procurement Act are used in the performance of the Agreement or subcontracts to the Agreement, unless there is a written determination by Authority that no manufacturer in the United States produces such "steel products" in sufficient quantities to meet the requirements of the Agreement.
- (iii) Contractor also agrees to include these requirements in each subcontract.

26. No Government Obligations to Third Parties

Authority and Contractor acknowledge and agree that, the Commonwealth of Pennsylvania and the County of Allegheny are not parties to this Agreement and shall not be subject to any obligations or liabilities to Authority, Contractor, or any other party (whether or not a party to this Agreement) pertaining to any matter resulting from this Agreement.

Contractor shall include the above clause in each subcontract, and shall not modify the clause except to identify the subcontractor who will be subject to its provisions.

27. Use of Brokers Prohibited

Contractor warrants that it has not retained any company, firm or person, other than a bona fide employee working solely for Contractor, to solicit or secure this Agreement, and that it has not paid or agreed to pay any company, firm or person, other than a bona fide employee working solely for Contractor, any fee. commission, percentage, brokerage fee, gifts or any other consideration contingent upon or resulting from the award or making of this Agreement. Upon any breach or violation of the foregoing warranty by Contractor, Authority shall have the right to annul this Agreement without liability and in addition to any other remedies that may then be available to Authority.

28. Notices

All notices and other communications provided for hereunder shall be in writing and handdelivered, sent by United States certified or registered mail, return receipt requested, or by nationally recognized overnight courier service, addressed as follows:

To Authority:

Port Authority of Allegheny County 345 Sixth Avenue, Third Floor

Pittsburgh, PA 15222-2527

Attention: Jim Ritchie

To Contractor:

Buchanan Ingersoll & Rooney PC

One Oxford Centre

301 Grant Street, 20th Floor

Pittsburgh, PA 15219

Attention: Charles J. Kolling, Jr.

Any such notice to Authority shall refer to this Agreement. Any party hereto may change the address to which notices to it are to be sent by written notice given to the other persons listed in this Section. All notices shall, when mailed as aforesaid, be effective on the date indicated on the return receipt, and all notices given by other means shall be effective when received.

29. Binding and Beneficial Effect

This Agreement is binding upon and shall inure to the benefit of the parties hereto and their successors and permitted assigns, but shall not inure to the benefit of any other third party or other person.

30. Acceptance of Service of Legal Process

Contractor shall maintain within the County of Allegheny, Pennsylvania, an agent to accept service of legal process on Contractor's behalf and shall keep Authority advised of such agent's name and address during the term of this Agreement and for a period of four years after the completion of Contract Services.

31. Governing Law/ Forum

This Agreement shall be governed by the laws of the Commonwealth of Pennsylvania as they may from time to time be in effect, without giving effect to any conflicts of law or choice of law provisions. Any suit or proceeding arising out of, relating to or mentioning this Agreement shall be commenced only in the state or federal court(s) maintaining jurisdiction in and over Pittsburgh, Allegheny County. Pennsylvania, and each party to this Agreement hereby consents to the jurisdiction and venue of such court.

Upon formalization of the Agreement, if any, all proposals submitted in response to the RFP may become "public records," as defined by the Pennsylvania "Right-to-Know Law," 65 P.S. §§ 67.101 *et seq.* Subject to the specific exceptions under the Right-to-Know Law, Authority is

required to make available, if required in accordance with the Law, all "public records."

32. Verification of Employees.

Contractor shall fully and timely comply with all applicable requirements of the Pennsylvania Public Works Employment Verification Act (S.B.637, Session 2011) (hereinafter in this Section the "Act"), and any and all regulations promulgated in regard to the Act, including, but not limited to, verifying the employment eligibility of each new employee utilizing U.S. Department of Homeland Security's E-Verify Program. Furthermore, prior to a subcontractor, at any tier, commencing performance of any portion of the Contract Services, Contractor shall ensure and require that each subcontractor has and does fully and timely comply with the Act and all regulations promulgated thereunder.

Under Authority's request, Contractor shall, and shall require of its subcontractors to, provide Authority with a written representation that their employees and employment practices are compliant with all applicable federal, state and local laws, including, but not limited to, in compliance with the "1-9" requirements, 8 U.S.C. §§ 1324, et seq., and shall provide Authority with all documents and information necessary to support any such representation or information, including copies of I-9s for all employees assigned to, or performing any portion of, the Contract Services.

Contractor shall include these requirements, or require these requirements to be included, in each subcontract at any tier.

33. Independent Contractor

Contractor shall perform its duties hereunder as an independent contractor, and nothing herein shall be construed to create a relationship of employer/employee, principal/agent or any joint venture between Authority and Contractor or its subcontractors.

34. Entire Agreement

This Agreement constitutes the entire agreement between the parties with respect to the subject matter hereof and supersedes all previous negotiations, representations, commitments and writings, whether oral or written.

35. Amendments and Waivers

Except as otherwise set forth herein, this Agreement may not be amended, released, discharged, rescinded or abandoned, except by a written agreement duly executed by each of the parties hereto. The failure of any party hereto at any time to enforce any of the provisions of this Agreement will in no way constitute or be construed as a waiver of such provision or of any other provision hereof, nor in any way affect the validity of, or the right thereafter to enforce, each and every provision of this Agreement.

IN WITNESS WHEREOF, the parties hereto, by their duly authorized officers, have executed this Agreement as of the day and year first above written.

ATTEST:	PORT AUTHORITY OF ALLEGHENY COUNTY
DianeWilliamson	Signature of Authorized Official
	organism of realism of the second
	Jim Ritchie
	Typed Name of Authorized Official
	Chief Communications Officer
(CORRORATE CEAL)	Typed Title of Authorized Official
(CORPORATE SEAL)	
March Bodina	BUCHANAN INGERSOLL & ROONEX PC Charles Yulley A Hy Signature of Authorized Official
	Charles J. Kolling, Jr. Typed Name of Authorized Official
(CORPORATE SEAL)	Senior Principal – Government Relations Typed Title of Authorized Official

Schedules and Attachments

Schedule	Description
2	Scope of Services
4.3	Key Personnel
6.1	Summary of Costs
Attachment	
A	Commonwealth of Pennsylvania Nondiscrimination Clause
В	Certification of Proposed Subcontractor Regarding Debarment
С	Certification Regarding Lobbying
D	Subcontractor Utilization Form
E	Patents and Rights in Data

Agreement No. R18-08-A

SCHEDULE 2 SCOPE OF SERVICES

1.0 Scope of Services

The Project consists of furnishing Contract Services to perform the work specified herein. The Contract Services will be in two categories, which shall include, but not be limited to the following disciplines or functions:

1.1 Federal Government Lobbying and Legislative Consulting

- 1. To advise Authority of legislation or regulations being proposed or acted upon by the federal government and/or federal government agencies with jurisdiction over Authority that may affect Authority and its public transportation operations.
- 2. Initiate, develop and/or enhance relationships between Authority and the federal government and/or federal government agencies with jurisdiction over Authority that may affect Authority and its public transportation operations. Coordinating and physically accompanying the Authority's Chief Executive Officer and/or Government Relations staff on 1 to 2 visits to Washington D.C. and/or regional federal offices on an annual basis to review the Authority's annual budget, current and future capital projects and, as applicable, an assessment of federal grants that Authority has or will submit.
- 3. Must maintain a regular physical presence in Washington, DC.
- 4. Must be a registered federal lobbyist or otherwise lawfully permitted to lobby on behalf of Authority before the federal government and/or federal government agencies with jurisdiction over the Authority.
- 5. Advise and consult with Authority officials relative to the initiation, development, management or enhancement of strategic initiatives and broadbased issue advocacy.
- 6. Advise, consult, support and direct on crisis management and rapid response to developing issues as they relate to elected officials, stakeholder communities and media.
- 7. Attend meetings and events as required by the Authority.
- 8. As instructed by the Authority, help identify and secure federal funding opportunities through advertised federal grants and/or federal discretionary programs, including tracking, drafting and advocacy on behalf of Authority for application and award of same.
- 9. To track, list and provide weekly reports identifying the status of proposed and/or final federal legislation and/or federal regulations relevant to Authority and its public transportation operations.
- 10. To prepare and deliver to Authority a monthly written activity report summarizing federal lobbying and legislative consulting activities completed on

behalf of the Authority.

- 11. As may be required by the Authority, assist Authority in any and all filing or other requirements to ensure the Authority's compliance with any and all federal lobbying registration and reporting requirements.
- 12. Perform other related federal lobbying and federal legislative consulting services as required by the Authority.

1.2 State Government Lobbying and Legislative Consulting

- To advise Authority of legislation or regulations being proposed or acted upon by the Commonwealth of Pennsylvania, Pennsylvania government agencies with jurisdiction over Authority and/or local governmental units that may affect Authority and its public transportation operations.
- 2. Initiate, develop and/or enhance relationships between Authority and the Commonwealth of Pennsylvania, Pennsylvania government agencies with jurisdiction over Authority and/or local governmental units that may affect Authority and its public transportation operations. Coordinating and physically accompanying the Authority's Chief Executive Officer and/or Governmental Relations staff on 1 to 2 visits to Harrisburg and/or regional legislative offices to review the Authority's annual budget, current and future capital projects and, as applicable, an assessment of state or local grants that Authority has or will submit. Also, coordinating the scheduling of and physically accompanying the Authority's Government Relations staff on an as needed basis to conduct meetings with state House and Senate members and/or staff regarding issues relevant to Authority and its public transportation operations.
- 3. Must maintain a regular physical presence in Harrisburg, Pennsylvania
- 4. Must be a registered Commonwealth of Pennsylvania lobbyist or otherwise lawfully permitted to lobby on behalf of Authority before state government, state government agencies with jurisdiction over Authority and/or local government agencies.
- 5. Advise and consult with Authority officials relative to the initiation, development, management or enhancement of strategic initiatives and broadbased issue advocacy.
- 6. Advise, consult, support and direct on crisis management and rapid response to developing issues as they relate to elected officials, stakeholder communities and media.
- 7. Attend meetings and events as required by the Authority.
- 8. As instructed by the Authority, help identify and secure state or local funding opportunities through advertised grants and/or discretionary programs, including tracking, grant writing and advocacy on behalf of Authority for application and award of same.
- 9. To track, list and provide weekly reports identifying the status of proposed and/or final state or local legislation or regulations relevant to Authority and

its public transportation operations.

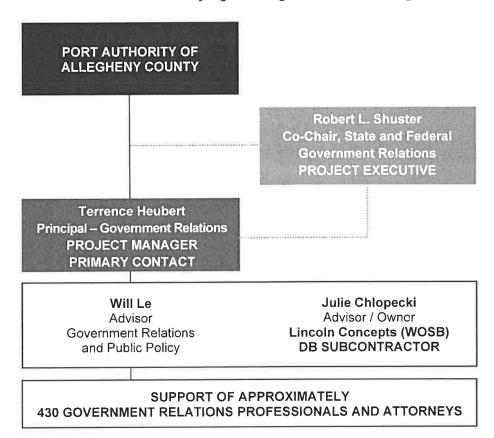
- 10. To prepare and deliver to Authority a monthly written activity report summarizing state and local lobbying and legislative consulting activities completed on behalf of the Authority.
- 11. As may be required by the Authority, assist Authority in any and all filings or other requirements to ensure the Authority's compliance with any and all state and/or local lobbying registration and reporting requirements.
- 12. Perform other related state and local lobbying and legislative consulting services as required by the Authority.

PROJECT ORGANIZATION AND MANAGEMENT PLAN

Agreement No. R18-08-A Schedule 4.3 **Key Personnel**

PROJECT ORGANIZATIONAL CHART

Federal Government Lobbying and Legislative Consulting



Registration Requirements

While the federal government has no official certification of lobbyists, the following proposed team members are registered and in compliance under the Lobbying Disclosure Act of 2007:

Robert L. Shuster

Terry Heubert (Project Manager)

Will Le

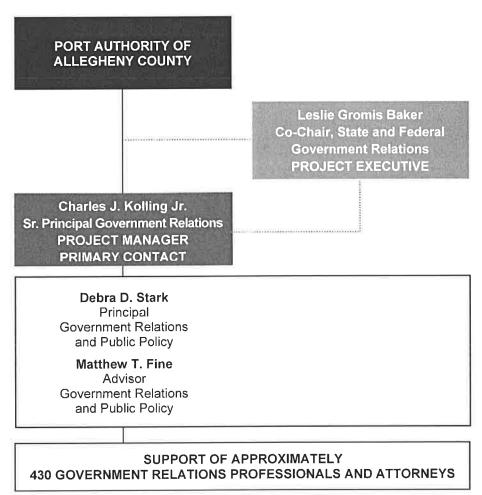
Julie Chlopecki

PROJECT ORGANIZATION AND MANAGEMENT PLAN

Agreement No. R18-08-A Schedule 4.3 **Key Personnel**

PROJECT ORGANIZATIONAL CHART

State Government Lobbying and Legislative Consulting



Registration Requirements

Buchanan's state team is registered to lobby in the Commonwealth of Pennsylvania.

Agreement No. R18-08-A Schedule 6.1 Summary of Costs

PROPOSER: Buchanan Ingersoll & Rooney PC

CATEGORY A - FEDERAL GOVERNMENT LOBBYING AND LEGISLATIVE CONSULTING

Year 1

Monthly Fee \$6,000	X12	= \$72,000	Annual Subtotal

EXPENSES (No markup is permitted on expenses)		
*Subcontractor for Federal Lobbying and Legislative Services (Lincoln Concepts)	\$6,000 for total contract (\$500 / month) \$2,250	
Expenses** (Inclusive of the following) Printing Communications Travel Subsistence		
EXPENSE Subtotal	\$8,250	

YEAR 1 TOTAL:

\$80,250

Year 2

(
Monthly Fee \$6,000	X12	= \$72,000 Annual Subtotal	

EXPENSES (No markup is permitted on expenses)		
*Subcontractor for Federal Lobbying and Legislative Services (Lincoln Concepts)	\$6,000 for total contract (\$500 / month)	
Expenses** (Inclusive of the following) Printing Communications Travel Subsistence	\$2,250	
EXPENSE Subtotal	\$8,250	

YEAR 2 TOTAL:

\$80,250

Exhibit 6 - Page 1 of 2

^{*}A separate Summary of Costs breakdown shall be provided for all subcontractors. Total cost should be included in overall costs.

Agreement No. R18-08-A Schedule 6.1 Summary of Costs

PROPOSER: Buchanan Ingersoll & Rooney PC

CATEGORY A - FEDERAL GOVERNMENT LOBBYING AND LEGISLATIVE CONSULTING

Year 3

EXPENSES (No markup is permitted on expenses)		
*Subcontractor for Federal Lobbying and Legislative Services (Lincoln Concepts)	\$6,000 for total contract (\$500 / month)	
Expenses** (Inclusive of the following) Printing Communications Travel Subsistence	\$2,250	
EXPENSE Subtotal	\$8,250	

YEAR 3 TOTAL:

\$80,250

**Expenses

We ask that the Authority will reimburse Buchanan for any out-of-pocket expenses - not to exceed \$2,250 for any 12-month period - incurred in connection with any of our work on its behalf, including, but not limited to computerized research, express mailings and postage, long-distance telephone charges, photocopying charges, meals and local travel. Buchanan will not incur any costs for out-of-town travel without receiving prior authorization. Receipts for all expense items submitted for reimbursement will be provided.

Exhibit 6 - Page 2 of 2

^{*}A separate Summary of Costs breakdown shall be provided for all subcontractors. Total cost should be included in overall costs.

Agreement No. R18-08-A Schedule 6.1 Summary of Costs

PROPOSER: Buchanan Ingersoll & Rooney PC

CATEGORY B - STATE GOVERNMENT LOBBYING AND LEGISLATIVE CONSULTING

Year 1

Monthly Fee \$3,000

EXPENSES (No markup is permitted on expenses)			
Expenses** (Inclusive of the following) Printing Communications Travel Subsistence	\$2,250		
EXPENSE Subtotal	\$2.250		

YEAR 1 TOTAL:

\$38,250

X12 = \$36,000 Annual Subtotal

Year 2

Monthly Fee \$3,000	X12	= \$36,000	Annual Subtotal

EXPENSES (No markup is permitted on e	xpenses)
Expenses** (Inclusive of the following) Printing Communications Travel Subsistence	\$2,250
EXPENSE Subtotal	\$2,250

YEAR 2 TOTAL:

\$38,250

Exhibit 6 - Page 1 of 2

^{*}A separate Summary of Costs breakdown shall be provided for all subcontractors. Total cost should be included in overall costs.

Agreement No. R18-08-A Schedule 6.1 Summary of Costs

PROPOSER: Buchanan Ingersoll & Rooney PC

CATEGORY B - STATE GOVERNMENT LOBBYING AND LEGISLATIVE CONSULTING

Year 3

Monthly Fee \$3,000	X12	= \$36,000	Annual Subtotal	

EXPENSES (No markup is permitted on e	xpenses)
Expenses** (Inclusive of the following) Printing Communications Travel Subsistence	\$2,250
EXPENSE Subtotal	\$2,250

YEAR 3 TOTAL:

\$38,250

**Expenses

We ask that the Authority will reimburse Buchanan for any out-of-pocket expenses - not to exceed \$2,250 for any 12-month period - incurred in connection with any of our work on its behalf, including, but not limited to computerized research, express mailings and postage, long-distance telephone charges, photocopying charges, meals and local travel. Buchanan will not incur any costs for out-of-town travel without receiving prior authorization. Receipts for all expense items submitted for reimbursement will be provided.

*A separate Summary of Costs breakdown shall be provided for all subcontractors. Total cost should be included in overall costs.

(Please note: Escalation for subcontractor to be determined based upon the evolution of the scope of work throughout the contract period.)

Exhibit 6 - Page 2 of 2

Agreement No. R18-08-A Schedule 6.1 Summary of Costs

SUBCONTRACTOR: Lincoln Concepts

CATEGORY A - FEDERAL GOVERNMENT LOBBYING AND LEGISLATIVE CONSULTING

Year 1

Monthly Fee \$500	X12	= \$6,000	Annual Subtotal
EXPENSES (No markup is pern	nitted on exp	enses)	
Expenses	\$()	
EXPENSE	E Subtotal \$)	
	YEAR 1 T	OTAL:	<u>\$6,000</u>
Year 2			
Monthly Fee \$500	X12	= \$6,000	Annual Subtotal
EXPENSES (No markup is perr	nitted on exp	enses)	
Expenses	\$	0	
EXPENSE	E Subtotal \$	0	
	YEAR 2 T	OTAL:	\$6,000

*A separate Summary of Costs breakdown shall be provided for all subcontractors. Total cost should be included in overall costs. (Please note: Escalation for subcontractor to be determined based upon the evolution of the scope of work throughout the contract period.)

Exhibit 6 - Page 1 of 2

Agreement No. R18-08-A Schedule 6.1 Summary of Costs

SUBCONTRACTOR: Lincoln Concepts

CATEGORY A - FEDERAL GOVERNMENT LOBBYING AND LEGISLATIVE CONSULTING

Year 3

Monthly Fee \$500	X12 = \$6,000 Annual Subtotal
EXPENSES (No markup is permitted or	n expenses)
Expenses	\$0
EXPENSE Subtot	al \$0

YEAR 3 TOTAL:

\$6,000

*A separate Summary of Costs breakdown shall be provided for all subcontractors. Total cost should be included in overall costs. (Please note: Escalation for subcontractor to be determined based upon the evolution of the scope of work throughout the contract period.)

Exhibit 6 - Page 2 of 2

Agreement No. R18-08-A Schedule 6.1 Summary of Costs

SUBCONTRACTOR: No Subcontractor

CATEGORY B - STATE GOVERNMENT LOBBYING AND LEGISLATIVE CONSULTING

Y	ea	r	1

Year 1			
Monthly Fee \$0	X12	= \$0	Annual Subtotal
EXPENSES (No markup is permitted o	n expe	enses)	
Expenses	\$0)	
EXPENSE Subto	tal \$0)	
YEA	AR 1 T	OTAL:	<u>\$0</u>
Year 2	(#)		
Monthly Fee \$0	X12	= \$0	Annual Subtotal
EXPENSES (No markup is permitted of	n expe	enses)	
Expenses	\$0)	
EXPENSE Subto	tal \$0)	
VE	AR 2 T	OTAL -	\$0

*A separate Summary of Costs breakdown shall be provided for all subcontractors. Total cost should be included in overall costs. (Please note: Escalation for subcontractor to be determined based upon the evolution of the scope of work throughout the contract period.)

Exhibit 6 - Page 1 of 2

Agreement No. R18-08-A Schedule 6.1 Summary of Costs

SUBCONTRACTOR: No Subcontractor

CATEGORY B - STATE GOVERNMENT LOBBYING AND LEGISLATIVE CONSULTING

Year 3

ed on expe	enses)	
\$0)	
btotal \$0)	
	stotal \$0	\$0 \$0 \$0 \$0 \$0 \$0 \$0 \$0 \$0 \$0 \$0 \$0 \$0 \$

*A separate Summary of Costs breakdown shall be provided for all subcontractors. Total cost should be included in overall costs.

(Please note: Escalation for subcontractor to be determined based upon the evolution of the scope of work throughout the contract period.)

Exhibit 6 - Page 2 of 2

ATTACHMENT A NONDISCRIMINATION CLAUSE

- 1. The Contractor shall not discriminate against any employee, applicant for employment, independent contractor or any other person because of race, color, religious creed, ancestry, national origin, age or sex. The Contractor shall take affirmative action to insure that applicants are employed, and that employees or agents are treated during employment, without regard to their race, color, religious creed, ancestry, national origin, age or sex. Such affirmative action shall include, but is not limited to, employment, upgrading, demotion or transfer, recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training. The Contractor shall post in conspicuous places, available to employees, agents, applicant for employment and other persons, a notice to be provided by the borrowing agency setting forth the provisions of this nondiscrimination clause.
- 2. The Contractor shall in advertisements or requests for employment placed by it or on its behalf, state that all qualified applicants will receive consideration for employment without regard to race, color, religious creed, ancestry, national origin, age or sex.
- 3. The Contractor shall send each labor union or worker's representative with which it has a collective bargaining agreement or other contract or understanding, a notice advising said labor union or workers' representative of its commitments under Section 202 of Executive Order 11246 of September 24, 1965, and shall post copies of the notice in conspicuous places available to employees and applicants for employment. Similar notice shall be sent to every other source of recruitment regularly utilized by the Contractor.
- 4. It shall be no defense to a finding of noncompliance with this nondiscrimination clause that the Contractor had delegated some of its employment practices to any union, training program or other source of recruitment which prevents it from meeting its obligations. However, if the evidence indicates that the Contractor was not on notice of the third party discrimination or made a good faith effort to correct it, such factor shall be considered in mitigation in determining appropriate sanctions.
- 5. Where the practices of a union or of any training program or other source of recruitment will result in the exclusion of minority group persons, so that the Contractor will be unable to meet its obligations under this nondiscrimination clause, the Contractor shall then employ and fill vacancies through other nondiscriminatory employment procedures.
- 6. The Contractor shall comply and shall assure the compliance by its subcontractors under this Agreement with all requirements of Title VI of the Civil Rights Act, as amended, 42 U.S.C. §2000d; Section 303 of the Age Discrimination Act of 1975, as amended, 42 U.S.C. § 6102; Section 202 of the Americans with Disabilities Act of 1990, 42 U.S.C. § 12132; Federal Transit Law at 49 U.S.C. § 5332, and the Department of Transportation regulations, "Nondiscrimination in Federally-Assisted Programs of the Department of Transportation--- Effectuation of Title VI of the Civil Rights Act," 49 C.F.R., Part 21. In addition,

Contractor agrees to comply with applicable Federal implementing regulations and other implementing requirements FTA may issue. In the event of the Contractor's noncompliance with the nondiscrimination clauses of the Contract or with any of the applicable rules, regulations or orders, the Contract may be canceled, terminated, or suspended in whole or in part and the Contractor may be declared ineligible for further state or federal government contracts or federally assisted construction contracts in accordance with procedures authorized in Executive Order 11246 of September 24, 1965, as amended, and such other sanctions may be imposed and remedies invoked as provided in Executive Order 11246 of September 24, 1965, or by rule, regulation, or order of the Secretary of Labor, or as otherwise provided by law.

- 7. The Contractor shall furnish all necessary employment documents and records to, and permit access to its books, records and accounts by, the contracting agency and the Office of Administration, Bureau of Affirmative Action, for purposes of investigation to ascertain compliance with the provisions of this clause. If the Contractor does not possess documents or records reflecting the necessary information requested, it shall furnish such information on reporting forms supplied by the borrowing agency or the Bureau of Affirmative Action.
- 8. The Contractor shall actively recruit minority contractors or contractors with substantial minority representation among their employees.
- 9. The Contractor shall include the provisions of this nondiscrimination clause in every contract, so that such provisions will be binding upon each contractor.
- 10. The Contractor's obligations under this clause are limited to the Contractor's facilities within Pennsylvania or, where the contract is for purchase of goods manufactured outside of Pennsylvania, the facilities at which such goods are actually produced.

ATTACHMENT B CERTIFICATION OF PROPOSED SUBCONTRACTOR REGARDING DEBARMENT, SUSPENSION AND OTHER RESPONSIBILITY MATTERS

(for Subcontracts totaling over \$25,000)

(Subo	contractor) Lincoln Concepts and belief, that it and its principals:	certifies, to the best of its				
1.	Are not presently debarred, suspended,	proposed for debarment, declared ineligible or actions by any Federal or State department or agency;				
2.	civil judgment rendered against them for or criminal offense in connection with a (Federal, State or local) transaction or confederal or State antitrust statutes; or the	reding its bid or proposal been convicted of or had a r: the commission or performance of fraud or a civil btaining, attempting to obtain or performing a public ontract under a public transaction; violation of commission or conduct of embezzlement, theft, entation or destruction of records, making false				
3.	claim or action brought, by a governme	r civilly charged, nor have a pending criminal or civil ntal entity (Federal, State or local) for the commission riolations or claims enumerated in paragraph (2) of				
4.	Have not within a three year period preceding its bid or proposal had one or more public transactions (Federal, State or local) terminated for cause or default.					
If the	Proposed Subcontractor is unable to cert h an explanation to this Certification.	ify to any of the statements in this Certification, it				
TRUTHF ON OR W	ontractor)Lincoln Concepts_ ULNESS AND ACCURACY OF THE CONTITH THIS CERTIFICATION AND UNICCTIONS 3801 ET. SEQ., ARE APPLICA	CERTIFIES OR AFFIRMS THE DISTRICT OF THE STATEMENTS SUBMITTED DERSTANDS THAT THE PROVISIONS OF 31 ABLE THERETO. Signature of Authorized Official Julie Chlopecki Typed Name of Authorized Official				
		President Typed Title of Authorized Official				

ATTACHMENT B CERTIFICATION OF PROPOSED SUBCONTRACTOR REGARDING DEBARMENT, SUSPENSION AND OTHER INELIGIBILITY AND VOLUNTARY EXCLUSION (for Subcontracts totaling \$25,000 or less)

(Subcontractor) Lincoln Concepts that neither it nor its principals are presently debara ineligible or voluntarily excluded from participatio or agency.	certifies, by submission of its bid or proposal, red, suspended, proposed for debarment, declared n in this transaction by any Federal or State department
If the Proposed Subcontractor is unable to certifattach an explanation to this Certification.	fy to any of the statements in this Certification, it shall
AND ACCURACY OF THE CONTENTS OF TH	RTIFIES OR AFFIRMS THE TRUTHFULNESS E STATEMENTS SUBMITTED ON OR WITH THIS THE PROVISIONS OF 31 U.S.C. SECTIONS 3801
	Signature of Authorized Official Julie Chlopecki Typed Name of Authorized Official President Typed Title of Authorized Official
	2/11/19 Date

APPENDIX

CERTIFICATION REGARDING LOBBYING

ATTACHMENT C

CERTIFICATION REGARDING LOBBYING Agreement No. R18-08

I, Julie Chlopecki, Founder & President (Authorized Official), hereby certify on behalf of Lincoln Concepts (Subcontractor) that:

- 1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- 2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying", in accordance with its instructions.
- 3. The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Signature of Authorized Official
October 26, 2018

Date

ATTACHMENT D SUBCONTRACTOR UTILIZATION FORM

							Š
						Lincoln Concepts – Julie Chlopecki	Subcontractor Name and Contact Person
						1750 Tysons Boulevard, Suite 1500 McLean, VA 22101	Address
						202-309-5675	Phone Number
						2014	Year Subcontractor Was Established
						Z	DB Status Yes or No
						Federal Government Affairs Consulting	General Description of the Subcontracted Contract Services
							Subcontract Total Dollar Amount
NEW REVISED	NEW	NEW REVISED	NEW REVISED	NEW REVISED	NEW REVISED	NEW REVISED	Any Changes Since Last Submittal

NEW REVISED	NEW REVISED	NEW REVISED	NEW REVISED

For each lower tier "covered transaction" (2 C.F.R. Part 180) for the Contract Services which equals or exceeds \$25,000.00, the Contractor hereby represents and certifies to Authority that the Contractor has independently verified that each person with which it has entered into such a transaction is not disbarred or disqualified from entering the transaction and has also verified the debarment status of each such person by utilizing the current list of suspended and debarred contractors at "https://www.sam.gov".

Signature and Title of Authorized Official
Julie Chlopecki
Print Name
February 11, 2019
Date

ATTACHMENT E PATENTS AND RIGHTS IN DATA

- A. Rights in Data Contractor acknowledges that the following requirements apply to this Agreement concerning experimental, developmental or research work:
 - (1) The term "subject data" used in this clause means recorded information, whether or not copyrighted, that is delivered or specified to be delivered under this Agreement. The term includes graphic or pictorial delineation in media such as drawings or photographs; text in specifications or related performance or design-type documents; machine forms such as punched cards, magnetic tape, or computer memory printouts; and information retained in computer memory. Examples include, but are not limited to: computer software, engineering drawings and associated lists, specifications, standards, process sheets, manuals, technical reports, catalog item identifications, and related information. The term "subject data" does not include financial reports, cost analyses, and similar information incidental to contract administration.
 - (2) The following restrictions apply to all subject data first produced in the performance of this Agreement to which this Attachment has been added:
 - (a) Except for its own internal use, Contractor may not publish or reproduce subject data in whole or in part, or in any manner or form, nor may Contractor authorize others to do so, without the written consent of the Authority, until such time as the Authority may have either released or approved the release of such data to the public; this restriction on publication, however, does not apply to any contract with an academic institution.
 - (b) Unless prohibited by state law, upon request by the Authority, Contractor agrees to indemnify, save, and hold harmless the Authority, its officers, agents, and employees acting within the scope of their official duties against any liability, including costs and expenses, resulting from any willful or intentional violation by Contractor of proprietary rights, copyrights, or right of privacy, arising out of the publication, translation, reproduction, delivery, use, or disposition of any data furnished under that contract. Contractor shall be required to indemnify the Authority for any such liability arising out of the wrongful act of any employee, official. or agents of the Authority.
 - (c) Nothing contained in this clause on rights in data shall imply a license to the Authority under any patent or be construed as affecting the scope of any license or other right otherwise granted to the Authority under any patent.
 - (d) Data developed by Contractor and financed entirely without using Federal assistance provided by the Authority that has been incorporated into work required by the underlying contract to which this Attachment has been added is exempt from the requirements of subsections (b) of this clause, provided that Contractor identifies that data in writing at the time of delivery of the contract work.

- (e) Contractor agrees to include these requirements in each subcontract for experimental, developmental, or research work financed.
- (3) Unless the Authority later makes a contrary determination in writing, irrespective of Contractor's status (i.e., a large business, small business, state government or state instrumentality, local government, nonprofit organization, institution of higher education, individual, etc.), the Purchaser and Contractor agree to take the necessary actions to provide, those rights in that invention due the Authority as described in U.S. Department of Commerce regulations, "Rights to Inventions Made by Nonprofit Organizations and Small Business Firms Under Government Grants, Contracts and Cooperative Agreements," 37 C.F.R., Part 401.
- B. Patent Rights The following requirements apply to each contract involving experimental, developmental, or research work:
 - (1) <u>General</u> If any invention, improvement, or discovery is conceived or first actually reduced to practice in the course of or under the contract to which this Attachment has been added, and that invention, improvement, or discovery is patentable under the laws of the United States of America or any foreign country, the Purchaser and Contractor agree to take actions necessary to provide immediate notice and a detailed report to the party at a higher tier.
 - (2) Contractor also agrees to include the requirements of this clause in each subcontract for experimental, developmental, or research work.